



# OMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

APR 3 2013

Maine Ethics Commission

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#### STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2012 Calendar Year: January 1, 2012 - December 31, 2012

Please file this statement with the <u>Maine Ethics Commission</u>. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations. A glossary is located in the back of this form.

#### **General Instructions**

- Complete all sections. If a section is not applicable, check the box marked "None."
- · Report only specific sources of income. Dollar amounts do not need to be reported.
- If completing this form by hand, please write legibly.

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REPORT TYPE (please see below)				

REPORT TYPE (please see below)						
∏Initial	☑Annual	□Update	Final			

## **Reporting Deadlines**

#### **Constitutional Officers and the State Auditor**

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

#### Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed..

## Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

### Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

### **Updating Statement**

An executive employee shall file an updated statement concerning the current calendar year if the income, reportable liabilities or positions of the executive employee or an immediate family member, excluding dependent children, substantially change from those disclosed in the employee's most recent statement. Substantial changes include, but are not limited to:

- a new employer that has paid the employee/immediate family member \$2,000 or more during the current year,
- a source of income that has provided the employee/immediate family member with income that totals \$2,000 or more
  during the current year, and
- the acceptance of a new position with a for-profit or nonprofit firm or political action or ballot question committee.

The executive employee shall file the updated statement within 30 days of the substantial change in income, reportable liabilities or positions.

Part 1. Income from Empl	oyment	by Ano	ther				
None. Check this box it	f you did	not hav	e income fro	m employn	nent by	another.	
Name of Employer		Addres	3 (1947) V. 1 (1948) V. 1 (194	Principal T Business /	ype of Ec Activity of	onomic or Employer	Job Title
Part 2. Income from Self-E	mploym	nent			**, *, *,		
None. Check this box if	you did	not have	e income fro	m self-emp	loymen	t.	
Name of Your Business/Trade N	√ame		Addr	ess		Principal Ty	/pe of Economic or Business Activity
					* . · · · · · · ·		
Name of Client or Customer, if required instructions)	ired (see	Address			Principal Type of Economic or Business Activity of Client		
						PP	
Part 3. Revenue of Busine	ss Entiti	es			*		
None. Check this box if	you and	your im	mediate fam	ily did not	have a r	najority share	e in a business.
Name of Business			Addr	ess		Principal Ty	pe of Economic or Business Activity
P.S. Wasserott		7 Ledge Wood Drive, Woolwich, ME		trucking			
Part 4. Income from the Pr	actice o	f Law					
None. Check this box if	you did i	not have	income fror	n the pract	ice of la	w.	
Name of Practice or Firm Address		Your Major Areas of Firm Practice		Firm's	n's Major Areas of Position: Partner, Associate, Sole Practitioner		
	·						

The first state of the first state of	AND	The state of the s
Name of Source	Address	Type of Income
Part 6-A. Compensation Income of		
None. Check this box if no member employment or compensation.	ers of your immediate family received in	come of \$2,000 or more from
Name and Job Title (do not list name of dependent child	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
Paul Wasscrott Owner	P.S. Wasserott	Trucking
Part 6-B. Other Sources of Income	of Immediate Family Members	
other source.	ers of your immediate family received ind	
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income

Part 7. Loans				
None. Check this box if you did not have reportable liabilities.				
Lender's Name	Lender's Address	Principal Type of Economic or Business Activity of Lender		
	-			
Part 8. Gifts, Including Travel and Accomme	odations			
None. Check this box if you did not receive	d any gifts.			
Source of Gift	Brance of the Control Science	urce of Gift		
1. (attended confer NASWA in Denver 6/ 3. (Nat'l Assoc. of State Workforce	ence 2.	,		
3. (Nat'l Assoc of State Workforce	Agencies 4.			
Part 9. Honoraria				
None. Check this box if you did not received	d honoraria.			
Source of Honoraria	Source	e of Honoraria		
1.	2.			
3.	4.			
Part 10. Positions in Political Action or Ballot Question Committees				
None. Check this box if you were not a treasurer, officer, decision-maker, or fundraiser of a PAC or BQC.				
Name of Committee		Title		
1.				
2.				

Part 11. Conducting Business wit	th State Agencies			
None. Check this box if neither y	ou nor your immed	liate family did busin	ess with any State	agency.
Name of Agency	Name of Individual/Organization Selling Goods or Services		Description of	Good or Services
Part 12. Representing Others before				
None. Check this box if neither y	ou nor your immed			
Name of Agency		Name of Ind	lividual Receiving C	Compensation
7	,			
Part 13. Positions in For-Profit an	d Non-Profit Orga	nizations		
None. Check this box if you and non-profit organizations.	members your imr	mediate family did no	ot hold positions in a	any for-profit or
Organization/Business and Address	Title	Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No
			□Self □Spouse □Dependent	☐ Yes ☐ No
			□Self □Spouse □Dependent	☐ Yes ☐ No
			□Self □Spouse □Dependent	☐ Yes ☐ No
	SIGN	ATURE		
I CERTIFY THAT I HAVE EXAMINED CORRECT, AND COMPLETE.	THIS REPORT AN	ND TO THE BEST O	F MY KNOWELDG	E IT IS TRUE,
, Ausan & Waster Signature	rd.		3/26/	13 ate
· ·	LING OF A FALSE STATI	EMENT IS A CLASS E CRIM	ME (5 M.R.S.A. § 19(4))	